



**i capital advisers (Pty) Ltd (the "private body")
Financial Services Provider #10452**

MANUAL

In terms of

Section 51

**The Promotion of Access to Information Act 2/2000
(the "ACT")**

Prepared by i capital advisers (Pty) Ltd
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A. Introduction

i capital advisers (Pty) Ltd is a category 2 authorised financial service provider (FSP# 10452). i capital advisers (Pty) Ltd provides Corporate Finance and Advisory services to the public, as well as provided shared services to the i capital Group which own majority shareholding in subsidiaries in the Financial and Business Services Sector.

B. Introduction to the Promotion of Access to Information Act

The Promotion of Access to Information Act, No 2 of 2000 ("the Act") gives effect to the constitutional right of access to any information in records held by public (government) or private (non-government) bodies that is required for the exercise of protection of any rights. Where a request is made in terms of the Act, i capital advisers is obliged to release the information, except where the Act expressly provides that the information may or must not be released.

This manual informs requesters of procedural and other requirements which a request must meet as prescribed by the Act. It is important to note that the Act recognises certain limitations to the right of access to information, including, but not limited to, limitations aimed at the reasonable protection of privacy, commercial confidentiality, and effective, efficient and good governance, and in a manner which balances that right with any other rights, including such rights contained in the Bill of Rights in the Constitution.

C. PARTICULARS IN TERMS OF THE SECTION 51 MANUAL

1. Contact details for i capital advisers (Pty) Ltd

Information Officer & CEO: Lance Williams
lance.williams@icapital.co.za

Physical address: 1st Floor, 33 Scott Street,
Waverley
Johannesburg,
2090

Postal address: PO Box 651688,
Benmore,
2010

Office telephone number: 011 483 3377

Email: info@icapital.co.za

2. The Act

Requesters are referred to the Guide in terms of section 10 which has been compiled by the South African Human Rights Commission (SAHRC), which will contain information for the purpose of exercising Constitution Rights. The guide is available from the SAHRC. Contact details are:

a. The South African Human Rights Commission

Website: <http://www.sahrc.org.za/>

Telephone number: +2711 877 3750

Address: Braampark Forum 3,
33 Hoofd Street,
Braamfontein

SAHRC: The Research and Documentation Department

Telephone: 011 877 3608

E-mail: PAIA@sahrc.org.za

The Act grants a requester access to records of a private body, if the record is required for the exercise or protection of any rights. If a public body lodges a request, the public body must be acting in the public interest.

Requests in terms of the Act shall be made in accordance with the prescribed procedures at the rates provided. The forms and tariffs are dealt with in paragraphs 6 and 7 of the Act.

3. Applicable legislation

- Financial Advisory and Intermediary Services Act 37 of 2002
- Financial Intelligence Centre Act 38 of 2001
- Companies Act 71 of 2008
- Value Added Tax Act 89 of 1991
- Income Tax Act 58 of 1962
- Promotion of Information Act No 2 of 2000
- Basic conditions of Employment Act 75 of 1997
- Unemployment Insurance Act 63 of 2001
- Employment Equity Act 55 of 1998

- Skills Development Act 9 of 1999
- Protection of Personal Information Act of 2013

4. Schedule of records (subjected to section 4(ii) of this manual)

- i. Available records: All product information, media releases and information on i capital adviser's website is available without a request <http://www.icapital.co.za/>
- ii. Records that require a request in terms of PAIA:
 - a. Company records:
 - Documents of incorporation
 - Memorandum and articles of association
 - Minutes of meetings of shareholders
 - Records relating to the appointment of directors, auditors, secretary, public office and other officer
 - Share register and other statutory registers, records and/or documents
 - b. Income tax act records
 - Pay-as-you-earn (PAYE) records
 - Value added tax
 - All documents relating to levies
 - Payments to the South African Revenue Services (SARS) on behalf of employees/staff
 - c. Other
 - Computer software and licenses
 - Annual financial statements
 - Client files including the mandates
 - Research conducted
 - Correspondence with clients and suppliers

- Records relating to suppliers, partners, insurance etc

iii. Form of request:

To facilitate the processing of your request, kindly following the below process.

Any request must be made on the prescribed form and submitted to the head of i capital advisers via electronic mail, fax or address and must include:

- The prescribed fees (this is available on the SAHRC's website)
- Particulars of the requester. If the request is made on behalf of another person, proof of the capacity in which the requester is making the request
- The postal address or fax number of the requester
- If the requester wishes to be informed of the decision in any manner (in addition to written) the manner and particulars thereof
- A description of the information required
- An indication of what form of access is required
- Identification of the right the requester is seeking to protect or exercise
- An explanation of why the requested information is required for the protection or exercise of that right

i capital advisers will inform the requester within 30 days after receipt of the request of its decision whether to not to grant the request. i capital may extend the 30 day period by a further period but not more than 30 days if the request is for multiple records or requires a search through many records.

iv. Fees:

A requester who seeks access to a record containing personal information about that requester is not required to pay the request fee. Every other requester, who is not a personal requester, must pay the required request fee:

- The head of i capital advisers must notify the requester (other than a personal requester) by notice, requiring the requester to pay the prescribed fee (if any) before further processing the request.
- Please refer to legislation for the prescribe fee that must be paid. The requester may lodge an application to the court against the tender or payment of the request fee.
- After the head of i capital advisers has made a decision on the request, the requester may be notified.
- If the request is granted then a further access fee must be paid for the search, reproduction, preparation and for any time that has exceeded the prescribed hours to search and prepare the record for disclosure.
- Records may be withheld until the fees have been paid

The prescribe fee for request is available on the website of the SAHRC under the link "access to information/PAIA".

5. Availability of the manual

The manual is available for inspection at the offices of i capital advisers free of charge and on i capital advisers website (if any).

6. Granting or refusing

Should the request be granted, the prescribe fee must be paid, and the form will be provided. Should the request be refused the notice will provide a sufficient explanation of the reason for refusal. Chapter 4 of the Act provides grounds for refusal of access to records. Should you require more inform on this section please contact the information officer mentioned above.

For more information on the Promotion of Access to Information act please visit the following website: <http://www.sahrc.org.za/index.php/understanding-paia>.

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